

Sundaram Alternate Assets Limited

Summary of proxy votes cast during Apr - June 2021 across all the investee companies

F.Y.	Quarter	Total no. of resolutions	Break-up of Vote decision		
			For	Against	Abstained
2021-22	Q1 (Apr - June 2021)	46	44	2	0

Meeting Date	Company Name	Type of meetings (AGM/EGM)	Proposal by Management or Shareholder	Proposal's description	Investee company's Management Recommendation	Vote (For/ Against/ Abstain)	Reason supporting the vote decision
4/7/2021	Varun Beverages Limited	AGM	Management	To receive, consider and adopt the Audited Standalone Financial Statements of the Company together with the report of Board of Directors and Auditors' thereon and the Audited Consolidated Financial Statements of the Company including Auditors' Report thereon for the	For	For	Normal resolution
4/7/2021	Varun Beverages Limited	AGM	Management	To appoint Mr. Ravi Jaipuria (DIN: 00003668), who retires by rotation and being eligible, offers himself for re-appointment as a Director	For	For	Normal resolution
4/7/2021	Varun Beverages Limited	AGM	Management	To appoint Mr. Raj Gandhi (DIN: 00003649), who retires by rotation and being eligible, offers himself for re-appointment as a Director	For	For	Normal resolution
4/7/2021	Varun Beverages Limited	AGM	Management	To approve continuation of directorship of Dr. Naresh Trehan (DIN: 00012148), as Non-executive Independent Director	For	For	Normal resolution
4/7/2021	Varun Beverages Limited	AGM	Management	To approve payment of profit related commission to Nonexecutive Directors of the Company	For	For	Normal resolution
29-Apr-21	KSB Limited	AGM	Management	To receive, consider and adopt the audited standalone financial statements and the audited consolidated financial statements of the Company for the year ended 31st December, 2020, together with the Board's Report and the Auditors' Report thereon	For	For	Normal resolution
29-Apr-21	KSB Limited	AGM	Management	To declare dividend	For	For	Normal resolution
29-Apr-21	KSB Limited	AGM	Management	To appoint a Director in place of Dr. Stephan Bross (DIN: 00423114) who retires by rotation, and being eligible, offers himself for re-appointment	For	For	Normal resolution
29-Apr-21	KSB Limited	AGM	Management	M/s Dhananjay V. Joshi & Associates, Cost Accountants, Pune, (Firm Registration No. 000030), appointed by the Board of Directors of the Company	For	For	Normal resolution
29-Apr-21	KSB Limited	AGM	Management	re-appointment of Mr. Rajeev Ja n (DIN 07475640) as the Managing Director of the Company	For	For	Normal resolution
29-Apr-21	Max Financial Services Ltd	Postal Ballot	Management	Approval of the Members of the Company, be and is hereby accorded for payment of a commission in the aggregate, not exceeding 3% of the net profits of the Company for the Financial Year 2020-21 to the Non-Executive Directors of the Company (including payment of compensation by way of commission, not exceeding 2% of the net profits	For	For	Within Cos act limits
29-Apr-21	Max Financial Services Ltd	Postal Ballot	Management	Approval of the Members of the Company, be and is hereby accorded for payment of annual gross compensation of Rs. 3,00,00,000/-	For	For	Within Cos act limits
7-May-21	Khadim India Limited	Postal Ballot	Management	Approval of "Khadim Employee Stock Option Plan 2021" ("ESOP 2021"/ "Plan")	For	Against	5% dilution, Exercise Price not defined
7-May-21	Khadim India Limited	Postal Ballot	Management	Approval of proposed grant of Options equal to or exceeding 1% of the issued capital to specified employee	For	Against	No performance targets defined for issue
7-May-21	Nestle India Limited	AGM	Management	To receive, consider and adopt the Audited Financial Statements of the Company for the year 2020 including Balance Sheet as at 31st December 2020, the Statement of Profit and Loss and Cash Flow Statement for the year ended on that date and the Reports of the Board of Directors and Auditors thereon	For	For	Normal Resolution
7-May-21	Nestle India Limited	AGM	Management	To confirm payment of Interim Dividend of ` 135/- per equity share for the year 2020 and to declare Final Dividend on equity shares for the financial year ended 31st December 2020	For	For	Normal Resolution
7-May-21	Nestle India Limited	AGM	Management	To appoint a Director in place of Mr David Steven McDaniel (DIN: 08662504), who retires by rotation and being eligible, offers himself for re-appointment	For	For	Normal Resolution
7-May-21	Nestle India Limited	AGM	Management	M/s. Ramanath Iyer & Co., Cost Accountants (Firm Registration No. 00019), appointed as the Cost Auditors by the Board of Directors	For	For	Normal Resolution
9-May-21	INOX Leisure Limited	Postal Ballot	Management	To consider and authorise issuance of Equity Shares / Other Securities up to Rs. 300 Crore	For	For	Survival during Covid
3-Jun-21	Varun Beverages Limited	Postal Ballot	Management	Issue of Bonus Shares	For	For	Normal Resolution

Meeting Date	Company Name	Type of meetings (AGM/EGM)	Proposal by Management or Shareholder	Proposal's description	Investee company's Management Recommendation	Vote (For/ Against/ Abstain)	Reason supporting the vote decision
25-Jun-21	Tata Consumer Products Limited	AGM	Management	Adoption of Audited Standalone Financial Statements	For	For	Normal business
25-Jun-21	Tata Consumer Products Limited	AGM	Management	Adoption of Audited Consolidated Financial Statements	For	For	Normal business
25-Jun-21	Tata Consumer Products Limited	AGM	Management	Declaration of Dividend	For	For	Normal business
25-Jun-21	Tata Consumer Products Limited	AGM	Management	Appointment of Mr. N. Chandrasekaran (DIN 00121863) as Director, liable to retire by rotation	For	For	Normal business
25-Jun-21	Tata Consumer Products Limited	AGM	Management	Ratification of the Remuneration of Cost Auditors	For	For	Normal business
25-Jun-21	Tata Consumer Products Limited	AGM	Management	Appointment of Mr. P. B. Balaji (DIN 02762983) as a Director	For	For	Normal business
25-Jun-21	Tata Elxsi Limited	AGM	Management	To consider and adopt the Audited Financial Statements of the Company for the year ended March 31, 2021 together with the Reports of the Board of Directors and the Auditors thereon	For	For	Normal business
25-Jun-21	Tata Elxsi Limited	AGM	Management	To declare dividend on equity shares for the financial year 2020-21	For	For	Normal business
25-Jun-21	Tata Elxsi Limited	AGM	Management	To appoint a Director in place of Mr. N. Ganapathy Subramaniam who retires by rotation and, being eligible, offers himself for re-appointment.	For	For	Normal business
25-Jun-21	Tata Elxsi Limited	AGM	Management	Appointment of Prof. Anurag Kumar (DIN : 03403112) as an Independent Director	For	For	Normal business
25-Jun-21	Tata Elxsi Limited	AGM	Management	Re-appointment of Mr. Sudhakar Rao (DIN : 00267211) as an Independent Director	For	For	Normal business
25-Jun-21	Tata Elxsi Limited	AGM	Management	Payment of Commission to Non-Executive Directors of the Company	For	For	Normal business
25-Jun-21	Tata Elxsi Limited	AGM	Management	Approval for Related Party Transactions	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To receive, consider and adopt: A. Audited Financial Statements of the Company for the financial year ended 31st March, 2021 together with the Reports of Board of Directors and Auditors thereon; and B. Audited Consolidated Financial Statements of the Company for the financial year ended 31st March, 2021 together with the Report of Auditors thereon.	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To declare final dividend on equity shares for the financial year ended 31st March, 2021.	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To appoint a Director in place of Mr. Abhay Vakil (DIN: 00009151), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To appoint a Director in place of Mr. Jigish Choksi (DIN: 08093304), who retires by rotation and being eligible, offers himself for re-appointment.	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To consider the re-appointment of M/s. Deloitte Haskins & Sells LLP, Chartered Accountants (Firm Registration No. 117366W/W-100018), as the Statutory Auditors of the Company	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To consider the re-appointment of Mr. R. Seshasayee (DIN: 00047985) as an Independent Director of the Company to hold office for a second term from 23rd January, 2022 to 22nd January, 2027 and, if thought fit, to pass the following resolution as a Special Resolution:	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To continue the Directorship by Mr. R. Seshasayee (DIN: 00047985) as an Independent Director of the Company and, if thought fit, to pass the following resolution as a Special Resolution:	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To consider the Asian Paints Employee Stock Option Plan 2021 ("2021 Plan") and grant of stock options to the eligible employees of the Company under the 2021 Plan and, if thought fit, to pass the following resolution as a Special Resolution:	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To consider the Asian Paints Employee Stock Option Plan 2021 ("2021 Plan") and grant of stock options to the eligible employees of the Company's subsidiaries under the 2021 Plan	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To consider the secondary acquisition of equity shares of the Company by the Asian Paints Employees Stock Ownership Trust for the implementation of the Asian Paints Employee Stock Option Plan 2021 ("2021 Plan")	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To consider the grant of Equity Stock options to Mr. Amit Syngle, Managing Director and CEO, under the Asian Paints Employee Stock Option Plan 2021 ("2021 Plan")	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To consider change of place of keeping and inspection of Register and Index of Members, returns, etc.	For	For	Normal business
29-Jun-21	Asian Paints Limited	AGM	Management	To ratify the remuneration payable to M/s. RA & Co., Cost Accountants (Firm Registration No. 000242), Cost Auditors of the Company for the financial year ending 31st March, 2022	For	For	Normal business