Sundaram Alternate Assets Limited

Sundaram Towers, 2nd Floor, 46, Whites Road, Chennai - 600 014. Ph: 044-2851 0040

Classification any one: ☐ National ☐ PSU Bank ☐ Regional ☐ Private Bank ☐ IFA

E-mail: spmsupport@sundaramalternates.com
URL: www.sundaramalternates.com



DISTRIBUTOR EMPANELMENT FORM		
Distributor of (Please ✓) □ PMS □ AIF □ Both		
1 KEY CONTACT DETAILS (Please fill in Block Letters)		
Name (Mr/Ms - M/s) Address for Communication	Recent passport size Photograph (only for individuals)	
City State Tel.No. Mobile No:	[Cross Signature across the photo]	
E-mail Status: Individual Sole Proprietorship Partnership F Pvt. Ltd. Co. Society / Trust Others	Firm Public Ltd. Co. Bank Please Specify	
2 NISM CERTIFICATE DETAILS (Please attach a copy of NISM Certificate & ARN Card)		
Regn No. ARN/NISM Valie Upto D D M M Y Y Y Y		
3 BANK ACCOUNT DETAILS FOR BROKERAGE / OTHER PAYMENTS		
Bank Name Branch		
City	MICR Code	
A/c No.	NEFT/IFSC Code	
Account Type: ☐ Savings ☐ Current ☐ Others	ppy of the cancelled cheque leaf)	
4 ADDITIONAL INFORMATION		
Individual - PAN Date of Birth DDMMYYYYY Educational Qualification Corporate- PAN Date of Incorporation DDMMYYYYY Authorised Person Designation		
5 BUSINESS DETAILS (Change in Authorised Person to be commu	unicated to Sundaram Alternates)	
Experience in Selling: (Please ✓) □ PMS: ₹ □ □ Fixed Deposits: ₹ □ □ IPOs: ₹ □ □ □ Othoro: ▼ □ □ Othoro: ▼ □ □ □ Othoro: ▼ □ □ Othoro: □ Othoro: ▼ □ □ Othoro: ■ □ Othoro: □	Funds Mobilised in PMS/AIF Cumulative to Date: ₹ Last one year: Breakup of amount procured: Equity(%) ₹ Debt(%) ₹	
Expected Business in Current F1 for Sundaram Alternates		
6 DECLARATION I/We hereby declare that the information furnished herein is complete and correct and othercirculars etc. issued by SEBI and /or AMFI that may be applicable to me time. I/We further confirm that I/We (including our directors/employees/officers/partr of any Director/Employee of the AMC/Sponsor or any of its associates [hereinafter Date D M M Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	e/us, and (b) the terms and conditions stated overleaf as amended from time to ners) am/we not an employee of Sundaram Alternate Assets Limited nor a relative	
7 FOR OFFICIAL USE ONLY	S. M. S. S.	
Recommended by Relationship Manager / Agent		
Date D D M M Y Y Y AMC Branch	Signature	

8 NOMINATION DETAILS FOR BROKERAGE / COMMISSION (Incase of Individual Agents only)			
I hereby nominate the person nan Nominee Name	ned below to receive the amounts of brokerage to my cre	edit in the event of my death.	
Date of Birth D D M M Y Y Y Y Relationship (If Minor):			
Guardian's Name			
Address of			
Nominee/Guardian			
City			
Specimen Signature of Nominee/Guardian	Signature of the Distributor		
CHECKLIST			
For IFAs	For Corporates	For Partnership Firms	
☐ Copy of NISM Certificate	☐ List of Directors	☐ Copy of NISM Certificate	
☐ Copy of ARN Card	□ MOA & AOA	☐ Copy of ARN Card	
☐ KYD Documents	☐ Certificate of Incorporation and GST Certificate	☐ Partnership Deed & Resolution	
☐ Cancelled Cheque	☐ Authorised Signatories List	☐ Authorised Signatory List	
☐ PAN Copy	☐ Board Resolution	☐ KYD Documents	
☐ Address Proof Copy	☐ KYD documents of all the authorised signatories	☐ Cancelled Cheque	
☐ Self Declaration	☐ Cancelled Cheque leaf	☐ PAN Copy	

☐ Address Proof Copy

☐ Annual Self Declaration

□ NISM XXI A Series Certificate

Note: Please contact your nearest Sundaram AMC Office for empanelment documentation checklist for entities other than referred above.

☐ AMFI Certificate copy & NISM XXI A Series Certificate

☐ Annual Self Declaration

☐ PAN & Address of the Entity

☐ PMS Agreement in Stamp paper

☐ For NRI Tax Residence

□ NISM XXI A Series

Certificate

Certificate